



Electricity Generation Licence Application

Umbata Falls, Limited Partnership

1. Application Type

1. (a) Application Type

☐ New ☒ Renewal

1. (b) Licence Number

EG-2005-319

1. (c) Expiry Date

September 08, 2025

2. The Applicant

2. (a) Legal Name of the Applicant

Umbata Falls, Limited Partnership

2. (b) Business Classification

☐ Sole Proprietorship ☒ Partnership ☐ Corporation ☐ Other

2. (c) Date of Formation or Incorporation

January 31, 2007

2. (d) Province/State of Formation or Incorporation

Ontario

2. (e) Country of Formation or Incorporation

Canada

2. (f) If the applicant is an individual, are they at least 18 years old?

If the applicant is an individual, the applicant must be at least 18 years old.

☐ Yes ☐ No ☒ Not Applicable

2. (g) Head Office or Business Address of the Applicant

Street Address: 1225, Saint-Charles street 10 floor

City: Longueuil

Province/State: Quebec

Country: Canada

Postal/Zip Code: J4K 0B9

Website: www.innergex.com

Main Phone Number and Email Address

Phone Number: 450-928-2550

Email Address: nthibault@innergex.com

2. (h) Please describe the applicant's current or intended line of business and business activities.

Electricity generation

3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

3. (a) Licence Primary Contact

Salutation: Mr.

Last Name: Baribeault

First Name: Yves

Title/Position: Chief Legal Affairs Officer and Secretary

Company: Innergex renewable energy inc

Phone Number: 450-928-2550

Email Address: legal@innergex.com

3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?

☒ Yes ☐ No

4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?

☐ Yes ☒ No

4. (b) Application Primary Contact

Salutation: Mr.

Last Name: Thibault

First Name: Nicolas

Title/Position: Asset manager

Company: Innergex renewable energy inc

Phone Number: 514-886-0497

Email Address: nthibault@innergex.com

Application Primary Contact Address

Street Address: 1225, Saint-Charles street 10 floor

City: Longueuil

Province/State: Quebec

Country: Canada

Postal/Zip Code: J4K 0B9

Website: www.innergex.com

5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

5. (a) Does the applicant intend to use trade names?

☒ Yes ☐ No

If yes, please provide a list of trade names the applicant intends to use.

- Innergex renewable energy inc
- Umbata Falls Lp
- Begetekong Power Corporation

6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

☒ Yes ☐ No

The *Business Corporations Act* definition for "affiliate" can be found at www.e-laws.gov.on.ca.

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Umbata Falls Lp	applicant itself	EG-2005-319

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes ☒ No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

☒ Yes ☐ No

If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
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Innergex renewable energy inc	Owner	Canada	Electricity generation	not applicable
Innergex renewable energy inc	Owner	USA	Electricity generation	not applicable

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?

☒ Yes ☐ No

If yes, please provide information on the IESO market participant(s) below.

Registered IESO Organization Name	Relation to the Applicant	Participant/Program/Service
Umbata Falls Lp	Applicant itself	RES-1 Contract

7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

12

7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

NOTES:

1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 16.
2. One of the listed key individuals must sign the completed application. See Section 18 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Michel Letellier	mletellier@innergex.com	President and Chief Executive Officer
Jean Trudel	jtrudel@innergex.com	Chief Financial Officer
Pascale Tremblay	ptremblay@innergex.com	Chief Asset Officer

8. Intended Markets and Services

8. (a) Does the applicant intend to sell electricity into the IESO-administered markets?

☒ Yes ☐ No

If yes, please provide particulars (e.g. procurement contract with the IESO).

We are under IESO RES-1 contract up to 2028 and we will join the IESO northern hydro program at the end of our contract.

8. (b) Does the applicant intend to sell ancillary services into the IESO-administered markets?

The [Ontario Energy Board Act, 1998](#), (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

☐ Yes ☒ No

8. (c) Does the applicant intend to sell electricity to another person?

☐ Yes ☒ No

8. (d) Does the applicant intend to sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate?

☐ Yes ☒ No

If yes, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on the OEB's [Apply for a licence](#) web page. If required, the electricity retailer application should be filed as soon as possible.

9. Facility Description

Please provide the number of facilities the applicant intends to generate electricity for sale from.

1

Facility #1

(a) Generation Type

☐ Natural Gas ☒ Water ☐ Wind ☐ Solar ☐ Other

(b) Installed Capacity (in Megawatts)

25.00 MW

NOTE: A person who owns or operates 1 or more facilities each with a total name plate capacity of 500 kilowatts or less is exempt from the need to obtain an electricity generation licence.

(c) Number of Units

2

(d) Facility Name

Umbata Falls Power Lp

(e) Facility Address

From the road 117 in Heron Bay, Ontario, 21km south west. Coordinates : 48.53582, -86.14426

(f) Licensee Responsibility/Qualification Sought

☒ Owner and operator ☐ Owner only ☐ Operator only

10. Facility Status

Facility #1

(a) Facility Status

☒ Existing facility in commercial service ☐ New facility ☐ Existing facility not in commercial service

When did this facility achieve commercial operation?

November 11, 2008

Is the applicant the original owner and operator?

☒ Yes ☐ No

(b) Please provide a list of all regulatory approvals required (e.g. environmental, municipal, etc.) and identify the status of each approval.

EB-2005-0319

NWPA Final Approval 8200-03-7737

Umbata Falls Hydro OMS

(c) Is the generation facility under construction or extensive rehabilitation?

☐ Yes ☒ No

(d) Has the applicant secured financing?

☒ Yes ☐ No

If yes, please provide particulars.

The facility financing is guaranteed by the holding company Innergex renewable energy inc.

11. Facility Connection

Facility #1

(a) What is the voltage at the perimeter of the applicant's property from the output of the generation facility?

☐ 50 kV or less ☒ greater than 50 kV

NOTE:

The [OEB Act](#) defines a "transmission system" as a system for transmitting electricity, and includes any structures, equipment or other things used for that purpose. "Transmit", with respect to electricity, means to convey electricity at voltages of more than 50 kV.

(i) Describe the existing or future transmission system from the output of the generation facility or applicant's distribution system to the connection point with the transmission network (e.g. length of line, transformers, etc.).

We are connected to the M2W feeder on the 145KV Transmission line. Our hydro-one point of interconnection is located at a distance of 22.6KM from the power house at H.O. tap and line disconnect switch 89T0-1.

(ii) Does (or will) the applicant own and/or operate the transmission system?

☒ Yes ☐ No

If yes, does the applicant own and/or operate the transmission system ONLY for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

☒ Yes ☐ No

(b) Please provide a diagram demonstrating all components of the generation facility, distribution assets to connect to the customer's facility and the connection point to the customer's facility.

[umbata-single-line.pdf](#)

(c) Please identify the ownership of all components included in the diagram provided in the last question, i.e. if the components are owned by the applicant or the customer.

Everything is owned and operated by Umbata Falls Lp up to the 89T0-1

CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 12 to 16 of this application will be treated as confidential and is not available for public view.

17. Notice

The OEB is authorized, under section 4.14 of the [OEB Act](#), to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Registrar

Ontario Energy Board

P.O. Box 2319

2300 Yonge Street, 27th Floor

Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the [Freedom of Information and Protection of Privacy Act](#) (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.